

# **Exhibit 5**



**finma**

Eidgenössische Finanzmarktaufsicht FINMA  
Autorité fédérale de surveillance des marchés financiers FINMA  
Autorità federale di vigilanza sui mercati finanziari FINMA  
Swiss Financial Market Supervisory Authority FINMA

## Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Aargauische Kantonalbank	Aarau 1	Bank	Cantonal banks	Swiss securities firm			3
ABANCA CORPORACION BANCARIA S.A., Betanzos, succursale de Genève	Genève 1	Foreign bank branch office	First branch office of a foreign bank		X		5
acervis Bank AG	St. Gallen	Bank	Regional banks and savings banks	Swiss securities firm			4
AEK BANK 1826 Genossenschaft	Thun	Bank	Regional banks and savings banks	Swiss securities firm			4
AFS Execution Services B.V., Amsterdam, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Allfunds Bank S.A., Madrid, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Alpha RHEINTAL Bank AG	Heerbrugg	Bank	Regional banks and savings banks	Swiss securities firm			4
Alpian SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Alternative Bank Schweiz AG	Olten 1 Fächer	Bank	Other banks	Swiss securities firm			4
Appenzeller Kantonalbank	Appenzell	Bank	Cantonal banks	Swiss securities firm			4
Aquila AG	Zürich	Bank	Other banks	Swiss securities firm			5
Arab Bank (Switzerland) Ltd.	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
AXION SWISS BANK SA	Lugano	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Baader Helvea AG	Zürich	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
Baloise Bank SoBa AG	Solothurn	Bank	Regional banks and savings banks	Swiss securities firm			4
Banca Aletti & C. (Suisse) SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
BANCA CREDINVEST SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5



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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
BANCA DEL CERESIO SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
BANCA DEL SEMPIONE SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banca dello Stato del Cantone Ticino	Bellinzona	Bank	Cantonal banks	Swiss securities firm			3
Banca Popolare di Sondrio (Suisse) SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
BANCA ZARATTINI & CO. SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banco Itaú (Suisse) SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banco Santander International SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Bank Avera Genossenschaft	Wetzikon ZH	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank BSU Genossenschaft	Uster	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank CIC (Schweiz) AG	Basel	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Bank Cler AG	Basel	Bank	Other banks	Swiss securities firm			3
Bank EEK AG	Bern	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank EKI Genossenschaft	Interlaken	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank für Tirol und Vorarlberg Aktiengesellschaft, Innsbruck, Zweigniederlassung Staad	Staad SG	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Bank Gantrisch Genossenschaft	Schwarzenburg	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank in Zuzwil AG	Zuzwil SG	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank J. Safra Sarasin AG	Basel	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3



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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Bank Julius Bär & Co. AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Bank Leerau Genossenschaft	Kirchleerau	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank Linth LLB AG	Uznach	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Bank Oberaargau AG	Huttwil	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank of America Europe Designated Activity Company, Dublin, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Bank of China Limited, succursale de Genève	Genève	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Bank SLM AG	Münsingen	Bank	Regional banks and savings banks	Swiss securities firm			4
Bank Sparhafen Zürich AG	Zürich	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank Thalwil Genossenschaft	Thalwil	Bank	Regional banks and savings banks	Swiss securities firm			5
Bank von Roll AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Bank Vontobel AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
BANK ZIMMERBERG AG	Horgen	Bank	Regional banks and savings banks	Swiss securities firm			5
bank zweiplus ag	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
BankMed (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Bank-now AG	Horgen	Bank	Other banks		X		5



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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Banque Algérienne du Commerce Extérieur SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
BANQUE AUDI (SUISSE) SA	Genève 12	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
BANQUE BANORIENT (SUISSE) SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banque Bonhôte & Cie SA	Neuchâtel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Banque Cantonale de Fribourg	Fribourg	Bank	Cantonal banks	Swiss securities firm			3
Banque Cantonale de Genève	Genève 2	Bank	Cantonal banks	Swiss securities firm			3
Banque Cantonale du Jura SA	Porrentruy 2	Bank	Cantonal banks	Swiss securities firm			4
Banque Cantonale du Valais	Sion	Bank	Cantonal banks	Swiss securities firm			3
Banque cantonale neuchâteloise	Neuchâtel	Bank	Cantonal banks	Swiss securities firm			4
Banque Cantonale Vaudoise	Lausanne	Bank	Cantonal banks	Swiss securities firm			3
Banque Cramer & Cie SA	Genève 12	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Banque de Commerce et de Placements SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banque du Léman SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Banque Eric Sturdza SA	Genève 3	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Banque Havilland (Suisse) S.A.	Genève 11	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5



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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
BANQUE HERITAGE SA	Genève 6	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Banque Internationale à Luxembourg (Suisse) SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Banque Internationale de Commerce - BRED (Suisse) SA	Genève	Bank	Foreign-controlled banks		X		5
Banque Lombard Odier & Cie SA	Genève 2	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Banque Pictet & Cie SA	Genève 73	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Banque Syz SA	Genf	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Banque Thaler SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Barclays Bank (Suisse) SA	Genève 3	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Barclays Capital, Zurich Branch of Barclays Bank PLC, London	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Basellandschaftliche Kantonalbank	Liestal	Bank	Cantonal banks	Swiss securities firm			3
Basler Kantonalbank	Basel	Bank	Cantonal banks	Swiss securities firm			3
Baumann & Cie KmG	Basel	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			5
BBO Bank Brienz Oberhasli AG	Brienz BE	Bank	Regional banks and savings banks	Swiss securities firm			5
BBVA SA	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4



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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
BERGOS AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Berner Kantonalbank AG	Bern	Bank	Cantonal banks	Swiss securities firm			3
Bernerland Bank AG	Sumiswald	Bank	Regional banks and savings banks	Swiss securities firm			4
Bezirks-Sparkasse Dielsdorf Genossenschaft	Dielsdorf	Bank	Regional banks and savings banks	Swiss securities firm			4
BGC BROKERS L.P., à Londres (Royaume-Uni), succursale de Nyon	Nyon	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Biene Bank im Rheintal Genossenschaft	Altstätten SG	Bank	Regional banks and savings banks	Swiss securities firm			5
BNP Paribas (Suisse) SA	Genève 11	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
BNP PARIBAS SECURITIES SERVICES, Paris, succursale de Zurich	Zürich	Foreign bank branch office	First branch office of a foreign bank		X		5
Bondpartners S.A.	Lausanne	Securities firm	Securities firm	Swiss securities firm			5
Bordier & Cie SCmA	Genève 11	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			4
bridport & cie sa	Genève 3	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
BS Bank Schaffhausen AG	Hallau	Bank	Regional banks and savings banks	Swiss securities firm			5
Burgergemeinde Bern, DC Bank Deposito-Cassa der Stadt Bern	Bern	Bank	Regional banks and savings banks	Swiss securities firm			5
Burgerliche Ersparniskasse Bern, Genossenschaft	Bern	Bank	Regional banks and savings banks		X		5
BZ Bank Aktiengesellschaft	Wilen b. Wollerau	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
CA Indosuez (Switzerland) SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3

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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
CACEIS Bank, Paris, succursale de Nyon / Suisse	Nyon	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Caisse d'Epargne Courtelary SA	Courtelary	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne d'Aubonne société coopérative	Aubonne	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne de Cossonay société coopérative	Cossonay	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne de Nyon société coopérative	Nyon	Bank	Regional banks and savings banks	Swiss securities firm			5
Caisse d'Epargne et de Crédit Mutuel de Chermignon société coopérative	Chermignon	Bank	Regional banks and savings banks		X		5
Caisse d'Epargne Riviera, société coopérative	Vevey 1	Bank	Regional banks and savings banks	Swiss securities firm			5
CBH Compagnie Bancaire Helvétique SA	Genève 3	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Cembra Money Bank AG	Zürich	Bank	Other banks		X		4
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
CIM BANQUE SA	Genève 6	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Cité Gestion SA	Genève	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Citibank (Switzerland) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Citibank, N.A., Sioux Falls, succursale de Genève	Genève 3	Foreign bank branch office	Second branch office of a foreign bank	Second branch office of a foreign securities firm			4
Citibank, N.A., Sioux Falls, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			4
CITIGROUP GLOBAL MARKETS LIMITED, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5



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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Clientis AG	Bern	Bank	Banks with a special field of business	Swiss securities firm			4
Clientis Bank Aareland AG	Küttigen	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank im Thal AG	Balsthal	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank Oberuzwil AG	Oberuzwil	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank Thur Genossenschaft	Ebnat-Kappel	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Bank Toggenburg AG	Kirchberg SG	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis EB Entlebucher Bank AG	Schüpfheim	Bank	Regional banks and savings banks	Swiss securities firm			5
Clientis Sparkasse Oftringen Genossenschaft	Oftringen	Bank	Regional banks and savings banks	Swiss securities firm			5
COMMERZBANK Aktiengesellschaft, Frankfurt am Main, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Compass Asset Management SA	Lugano 2 Paradiso Caselle	Securities firm	Securities firm	Swiss securities firm		X	5
Cornèr Banca SA	Lugano	Bank	Other banks	Swiss securities firm			4
Crédit Agricole next bank (Suisse) SA	Genève 26	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Credit Europe Bank (Suisse) SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
CREDIT MUTUEL DE LA VALLEE SA	Le Sentier	Bank	Regional banks and savings banks	Swiss securities firm			5
Credit Suisse (Schweiz) AG	Zürich	Bank	Large banks	Swiss securities firm			1
Credit Suisse AG	Zürich	Bank	Large banks	Swiss securities firm			1
Crypto Finance (Brokerage) AG	Zürich	Securities firm	Securities firm	Foreign-controlled securities firm			5
Daiwa Capital Markets Europe Limited, Londres, succursale de Genève	Genève 3	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5

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Delen (Suisse) SA	Genève	Securities firm	Securities firm	Foreign-controlled securities firm			5
Deutsche Bank (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Deutsche Bank Aktiengesellschaft, Frankfurt a.M., Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Dreyfus Söhne & Cie. Aktiengesellschaft, Banquiers	Basel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Dukascopy Bank SA	Genève 15 Aéroport	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
DZ PRIVATBANK (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
E. Gutzwiller & Cie. Banquiers	Basel	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			5
Edmond de Rothschild (Suisse) S.A.	Genève 11	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
EFG Bank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
EFG Bank European Financial Group SA	Genève 2	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
Entris Banking AG	Gümligen	Bank	Banks with a special field of business	Swiss securities firm			5
Equatex AG	Zürich	Securities firm	Securities firm	Foreign-controlled securities firm			5
Ersparniskasse Affoltern i.E. AG	Weier im Emmental	Bank	Regional banks and savings banks	Swiss securities firm			5
Ersparniskasse Rüeggisberg Genossenschaft	Rüeggisberg	Bank	Regional banks and savings banks	Swiss securities firm			5

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Ersparniskasse Schaffhausen AG	Schaffhausen	Bank	Regional banks and savings banks	Swiss securities firm			5
Ersparniskasse Speicher	Speicher	Bank	Regional banks and savings banks		X		5
EXANE DERIVATIVES, Paris, succursale de Genève	Genève	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
EXANE SA, Paris, succursale de Genève	Genève	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
F. van Lanschot Bankiers (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
FAB Private Bank (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Fidurhône SA	Genève 3	Securities firm	Securities firm	Swiss securities firm			5
Fisch Asset Management AG	Zürich	Securities firm	Securities firm	Swiss securities firm		X	5
FlowBank SA	Genève 26	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Frankfurter Bankgesellschaft (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Freie Gemeinschaftsbank Genossenschaft	Basel	Bank	Other banks		X		5
Gazprombank (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
GFI Securities Limited, Londres, Succursale de Nyon	Nyon	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Glarner Kantonalbank	Glarus	Bank	Cantonal banks	Swiss securities firm			4
Globalance Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Goldman Sachs Bank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5

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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Goldman Sachs International, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
Gonet & Cie SA	Genève 11	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Grammont Finance SA	Lutry	Securities firm	Securities firm	Swiss securities firm		X	5
Graubündner Kantonalbank	Chur	Bank	Cantonal banks	Swiss securities firm			3
GRB Glarner Regionalbank Genossenschaft	Schwanden GL	Bank	Regional banks and savings banks	Swiss securities firm			5
Habib Bank AG Zürich	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Hardcastle Trading AG	Rapperswil SG	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
HBL BANK UK LIMITED, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Helvetische Bank AG	Zürich	Bank	Other banks	Swiss securities firm			5
Hottinger AG	Zürich	Securities firm	Securities firm	Swiss securities firm			5
HSBC Bank plc, London, Zweigniederlassung Zürich	Genève 1	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
HSBC Private Bank (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			3
Hypo Vorarlberg Bank AG, Bregenz, Zweigniederlassung St. Gallen	St. Gallen	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Hyposwiss Private Bank Genève SA	Genève 11	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Hypothekarbank Lenzburg AG	Lenzburg	Bank	Regional banks and savings banks	Swiss securities firm			4
IBKR Financial Services AG	Zug	Securities firm	Securities firm	Foreign-controlled securities firm		X	5

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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
IG Bank S.A.	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
IMAC Bond SA	Zug	Securities firm		Swiss securities firm		X	5
InCore Bank AG	Schlieren	Bank	Other banks	Swiss securities firm			5
Industrial and Commercial Bank of China Limited, Peking, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
ING Bank N.V., Amsterdam, succursale de Lancy/Genève	Petit-Lancy 1	Foreign bank branch office	First branch office of a foreign bank		X		5
Investec Bank (Switzerland) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
ISP Securities AG	Zürich	Securities firm	Foreign-controlled banks	Foreign-controlled securities firm			5
J.P. Morgan (Suisse) SA	Genève 11	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
J.P. Morgan Securities plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Jefferies International Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
JL Securities SA	Genève	Securities firm	Securities firm	Swiss securities firm			5
JPMorgan Chase Bank, National Association, Columbus, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank		X		5
Kaleido Privatbank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Kendra Securities House SA	Genève	Securities firm	Securities firm	Swiss securities firm			5
Kepler Cheuvreux (Suisse) SA	Nyon	Securities firm	Securities firm	Foreign-controlled securities firm		X	5
Leihkasse Stammheim AG	Oberstammheim	Bank	Regional banks and savings banks	Swiss securities firm			5



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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Leonteq Securities AG	Zürich	Securities firm	Securities firm	Swiss securities firm		X	5
LF FINANCE (SUISSE) SA	Genève 3	Securities firm	Securities firm	Foreign-controlled securities firm			5
LGT Bank (Schweiz) AG	Basel	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Lienhardt & Partner Privatbank Zürich AG	Zürich	Bank	Regional banks and savings banks	Swiss securities firm			4
Luzerner Kantonalbank AG	Luzern	Bank	Cantonal banks	Swiss securities firm			3
Maerki Baumann & Co. AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
MBaer Merchant Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Mercantil Bank (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
MG Finance SA	Pully	Securities firm	Securities firm	Swiss securities firm			5
Migros Bank AG	Zürich	Bank	Other banks	Swiss securities firm			3
Mirabaud & Cie SA	Genève	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Morgan Stanley & Co. International plc, London, Zurich Branch	Zürich	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
NBK Private Bank (Switzerland) Ltd	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Nidwaldner Kantonalbank	Stans	Bank	Cantonal banks	Swiss securities firm			4
Nomura Bank (Schweiz) AG	Zürich 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5

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Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Northern Trust Global Services SE, Leudelange, Luxembourg, Zweigniederlassung Basel	Basel	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
NPB Neue Privat Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Obwaldner Kantonalbank	Sarnen	Bank	Cantonal banks	Swiss securities firm			4
ODDO BHF (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
ONE swiss bank SA	Genève 1	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Piguet Galland & Cie SA	Yverdon-les-Bains	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
PKB PRIVATBANK SA	Lugano	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
PostFinance AG	Bern	Bank	Other banks	Swiss securities firm			2
Privatbank Bellerive AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Privatbank IHAG Zürich AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Privatbank Von Graffenried AG	Bern	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Private Client Bank AG	Zürich	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5



## Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
QNB (Suisse) SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Quantus AG	Zürich	Securities firm	Securities firm	Swiss securities firm			5
Quilvest (Switzerland) Ltd.	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
Rahn+Bodmer Co.	Zürich	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			4
Raiffeisen Schweiz Genossenschaft	St. Gallen	Bank	Banks with a special field of business	Swiss securities firm			2
RBC Investor Services Bank S.A., Esch-sur-Alzette, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
Regiobank Männedorf AG	Männedorf	Bank	Regional banks and savings banks	Swiss securities firm			5
Regiobank Solothurn AG	Solothurn	Bank	Regional banks and savings banks	Swiss securities firm			4
Reichmuth & Co.	Luzern	Bank	Private bankers who do not solicit funds from the public	Swiss securities firm			4
Remaco Asset Management AG	Basel	Securities firm	Securities firm	Swiss securities firm			5
Reuss Private AG	Bremgarten AG	Securities firm	Securities firm	Swiss securities firm		X	5
REYL & Cie SA	Genève	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Rothschild & Co Bank AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
S.P. Hinduja Banque Privée SA	Genève 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
SAXO BANK (SCHWEIZ) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5
SB Saanen Bank AG	Saanen	Bank	Regional banks and savings banks	Swiss securities firm			5
Sberbank (Switzerland) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			5



## Authorised banks and securities firms



Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
Schaffhauser Kantonalbank	Schaffhausen	Bank	Cantonal banks	Swiss securities firm			4
Schroder & Co Bank AG	Zürich 1	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Schwyz Kantonalbank	Schwyz	Bank	Cantonal banks	Swiss securities firm			3
Scobag Privatbank AG	Basel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
SEBA Bank AG	Zug	Bank	Other banks	Swiss securities firm			5
SELVI & Cie SA	Genève 3	Securities firm	Securities firm	Swiss securities firm			5
Società Bancaria Ticinese SA	Bellinzona	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
SOCIETE GENERALE Private Banking (Suisse) SA	Genève 11	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
Société Générale, Paris, Zweigniederlassung Zürich	Zürich 1	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
SPAR + LEIHKASSE GÜRBETAL AG	Mühlethurnen	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar- und Leihkasse Bucheggberg AG	Lütterswil	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar- und Leihkasse Frutigen AG	Frutigen	Bank	Regional banks and savings banks	Swiss securities firm			4
Spar- und Leihkasse Thayngen AG	Thayngen	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar- und Leihkasse Wynigen AG	Wynigen	Bank	Regional banks and savings banks	Swiss securities firm			5
Spar+Leihkasse Riggisberg AG	Riggisberg	Bank	Regional banks and savings banks	Swiss securities firm			5
Sparcassa 1816 Genossenschaft	Wädenswil	Bank	Regional banks and savings banks	Swiss securities firm			5
Sparkasse Schwyz AG	Schwyz	Bank	Regional banks and savings banks	Swiss securities firm			4
Sparkasse Sense	Tafers	Bank	Regional banks and savings banks	Swiss securities firm			5



## Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
St. Galler Kantonalbank AG	St. Gallen	Bank	Cantonal banks	Swiss securities firm			3
State Street Bank International GmbH, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank		X		5
Swiss Bankers Prepaid Services AG	Grosshöchstetten	Bank	Other banks		X		5
Swiss Finance & Property AG	Zürich	Securities firm	Securities firm	Swiss securities firm		X	5
Swissquote Bank SA	Gland	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			4
Sygnum Bank AG	Zürich	Bank	Other banks	Swiss securities firm			5
Taurus SA	Genève	Securities firm	Securities firm	Swiss securities firm			5
Tellco AG	Schwyz	Bank	Other banks	Swiss securities firm			5
Tensor Technologies AG	Zug	Securities firm	Securities firm	Swiss securities firm		X	5
Thurgauer Kantonalbank	Weinfelden	Bank	Cantonal banks	Swiss securities firm			3
Trafina Privatbank AG	Basel	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
TULLETT PREBON (SECURITIES) LIMITED, Londres, succursale de Meyrin / Genève	Genève 15 Aéroport	Foreign securities firm branch office	Securities firm	First branch office of a foreign securities firm		X	5
UBS AG	Zürich	Bank	Large banks	Swiss securities firm			1
UBS Europe SE, Frankfurt am Main, Zweigniederlassung Schweiz, Opfikon	Opfikon	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
UBS Swiss Financial Advisers AG	Zürich	Securities firm	Securities firm	Swiss securities firm			5
UBS Switzerland AG	Zürich	Bank	Large banks	Swiss securities firm			1
UG Europe AG	Baar	Securities firm	Securities firm	Foreign-controlled securities firm		X	5



## Authorised banks and securities firms

Name	City	Licensing	Bank type	Securities firms type	no activity as securities firm	non-account-holding securities firms	Category
UniCredit Bank AG, München, Succursale de Genève	Genève	Foreign bank branch office	First branch office of a foreign bank	First branch office of a foreign securities firm			5
UNION BANCAIRE PRIVEE, UBP SA	Genève 1	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			3
Union Securities Switzerland SA	Genève	Securities firm	Securities firm	Swiss securities firm		X	5
Urner Kantonalbank	Altdorf UR	Bank	Cantonal banks	Swiss securities firm			4
Valcourt SA	Genève 11	Securities firm	Securities firm	Swiss securities firm		X	5
Valiant Bank AG	Bern	Bank	Regional banks and savings banks	Swiss securities firm			3
VP Bank (Schweiz) AG	Zürich	Bank	Foreign-controlled banks	Foreign-controlled securities firm			4
VZ Depotbank AG	Zug	Bank	Other banks	Swiss securities firm			4
WIR Bank Genossenschaft	Basel	Bank	Other banks		X		4
Zähringer Privatbank AG	Bern	Bank	Banks specialised in exchange, securities and asset management business	Swiss securities firm			5
Zuger Kantonalbank	Zug	Bank	Cantonal banks	Swiss securities firm			3
Zürcher Kantonalbank	Zürich	Bank	Cantonal banks	Swiss securities firm			2
Zürcher Landbank AG	Elgg	Bank	Regional banks and savings banks	Swiss securities firm			5

Total authorised banks and securities firms: 282